



PTO/SB/21 (08-00)

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		Application Number	10/781,586						
TRANSMITT	AL	Filing Date	Feb 17, 2004						
FORM		First Named Inventor	Rivers-Moore						
(to be used for all correspondence after	r initial filing)	Group Art Unit							
		Examiner Name							
Total Number of Pages in This Submi	ssion	Attorney Docket Number	MS1-1864US						
	ENCL	OSURES (check	all that apply)						
Fee Transmittal Form Fee Attached  Amendment / Reply After Final Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application	Continue   Continue	nent Papers Application) (s) Sheets  Ig-related Papers  Ito Convert to a Inal Application of Attorney, Revocation of Correspondence It Disclaimer It for Refund Imber of CD(s)	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  PTO-1449; reference copies (20); return receipt postcard						
Response to Missing Parts under 37 CFR 1.52 or 1.53									
SIGNATU	RE OF APPLI	CANT, ATTORNEY, OR	AGENT						
Firm or Individual name  Michael K. Colby, F			*						
Signature Mi Mail	K. Col	<del></del>							
Date /9 101 0	Date 19.000 /								
	CERTIFIC	ATE OF MAILING							
I hereby certify that this correspondence is being de an envelope addressed to: Commissioner for Pater	posited with the nts, P.O. Box 145	United States Postal Service 50, Alexandria, VA 22313-145	with sufficient postage as first class mail in 50 on this date:						
Typed or printed name	ies Juli	e Gehlen	Liplaplad						
Signature	~	, Date	= 10/20/04						

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PTO/SB/17 (10-03)

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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Application Number	10/781,586						
Filing Date	Feb 17, 2004						
First Named Inventor	Rivers-Moore						
Examiner Name							
Art Unit							
Attorney Docket No.	MS1-1864US						

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. AD	3. ADDITIONAL FEES				
Order Order	Large E	ntity	Small	Entity		
Deposit Account.				Fee	Fee Description	- 5
Account 12-0769	1051	( <b>\$</b> ) 130	<b>Code</b> 2051		Surcharge - late filing fee or oath	Fee Paid
Number Deposit Deposit	1051	50	2052	25	Surcharge - late provisional filing fee or	
Account Lee & Hayes, PLLC	1052	50	2052	25	cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812 2	2,520	1812	-,	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1.840*	1805	1.840*	Requesting publication of SIR after	
to the above-identified deposit account.	]	.,		.,	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Fee Pead Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims x = 0	1503	640	2503	320	Plant issue fee	
Claims - 3** = L X L = U	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity	1806	180	1806		Submission of Information Disclosure Stmt	
Fee Fee Fee Fee Description Code (\$)	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))	
over original patent	1801	770	2801		Request for Continued Examination (RCE)	ļ
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00	Other					<u> </u>
**or number previously paid, if greater; For Reissues, see above	*Redu	ced by	Basic 1	Filing F	Fee Paid SUBTOTAL (3) (\$) 0.00	

(Complete (if applicable)) SUBMITTED BY Registration No. Telephone (509) 324-9256 Name (Print/Type) Michael K. Colby 45,816 Date Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	10/781,586
Filing Date	
Confirmation No.	3412
Inventorship	Rivers-Moore
Applicant	Microsoft Corporation
Group Art Unit	-
Examine	
Attorney's Docket No	MS1-1864US
Title: Systems and Methods for Editing XML Documents	

## INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

## **REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

By: Milhaul M. Coffee Michael K. Colby Reg. No. 45,816 Date: 1900104

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Complete if Known Substitute for form 1449A/PTO 10/781,586 **Application Number** Feb 17, 2004 Filing Date First Named Inventor Rivers-Moore Group Art Unit **Examiner Name** 

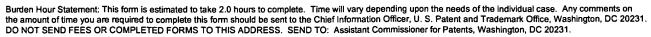
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Attorney Docket Number Sheet of MS1-1864US 1

			,	U.S. PATENT DOCU	JMENTS	
Examiner Initials*	No.1 Number Kind Code <sup>2</sup>			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4514800		Gruner et al.	04/30/1985	
		4674040		Barker et al.	06/16/1987	
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		5339423		Beitel et al.	08/16/1994	
		5339424		Fushimi	08/16/1994	

	FOREIGN PATENT DOCUMENTS											
Examiner Initials	Cite No.1	Office <sup>3</sup>	Foreign Patent Doo Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Тв				
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



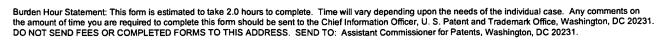
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Sheet	2	of	7	Attorney Docket Number	MS1-1864US	

				U.S. PATENT DOCL	JMENTS	
Examiner Initials*	Cite No.1	Number	nent Code²	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5341478		Travis Jr. et al.	08/23/1994	
		5369766		Nakano et al.	11/29/1994	
		5369778		San Soucie et al.	11/29/1994	
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		5706501		Horikiri et al.	01/06/1998	
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		5572643		Judson	11/05/1996	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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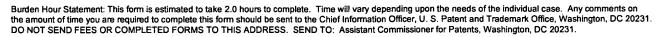
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				Application Number	10/781,586			
INF	DRMATIC	ON DISC	LOSURE	Filing Date	Feb 17, 2004			
STATEMENT BY APPLICANT				First Named Inventor	Rivers-Moore			
				Group Art Unit		-		
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Sheet	3	of	7	Attorney Docket Number	MS1-1864US			

		1.1		U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Number	Document  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5577252		Nelson et al.	11/19/1996	
		5581686		Koppolu et al.	12/03/1996	
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		5802304		Stone	09/01/1998	
		5815830		Anthony	09/29/1998	

				FORE	IGN PATENT DOCUMENT	rs		
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Examiner Initials*	No.1	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> ( <i>if known</i> )	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner	Date	
Signature	Conside	ered

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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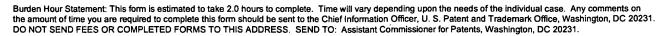
Substitu	te for form 1449A/	PTO		Complete if Known			
				Application Number	10/781,586		
INF	DRMATIO	N D	ISCLOSURE	Filing Date	Feb 17, 2004		
STA	TEMENT	BY	APPLICANT	First Named Inventor	Rivers-Moore		
				Group Art Unit			
	(use as many s	heets	as necessary)	Examiner Name			
Sheet	4	of	7	Attorney Docket Number	MS1-1864US		

				U.S. PATENT DOCI	JMENTS	
Examiner Initials	Cite No.1	U.S. Patent	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5838906		Doyle et al.	11/17/1998	
		5842018		Atkinson et al.	11/24/1998	
		5854630		Nielsen	12/29/1998	<u> </u>
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		6016520		Facq et al.	01/18/2000	
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		6366912		Wallent et al.	04/02/2002	
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FOREIGN PATENT DOCUMENTS											
	0.4		Foreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	Т			
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Т⁰			
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.





<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				Application Number	10/781,586		
INFORMATION DISCLOSURE				Filing Date	Feb 17, 2004		
STA	TEMENT I	3Y A	APPLICANT	First Named Inventor	Rivers-Moore		
				Group Art Unit			
	(use as many sh	eets a	s necessary)	Examiner Name			
Sheet	5	of	7	Attorney Docket Number	MS1-1864US		

			U.S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Document  Number  Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6505300	Chan et al.	01/07/2003	
		6546546	Van Doorn	04/08/2003	
		6691230	Bardon	02/10/2004	
		6369840	Barnett et al.	04/09/2002	
		6421070	Ramos et al.	07/16/2002	
		6476833	Moshfeghi	11/05/2002	
		6493702	Adar et al.	12/10/2002	
		6560640	Smethers	05/06/2003	
		6631357	Perkowski	10/07/2003	***
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		2001/0056429	Moore et al.	12/27/2001	
		2003/0158897	Ben-Natan et al.	08/21/2003	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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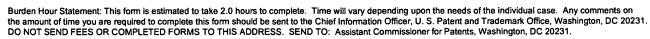
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/781,586			
				Filing Date	Feb 17, 2004			
				First Named Inventor	Rivers-Moore			
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Sheet	6	of	7	Attorney Docket Number	MS1-1864US			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Examiner	Date	
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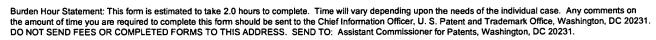
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